

Attorney's Docket No. 42390.P10601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

5/29/03

C. Moore

In Re Patent Application of:

Dmitri E. Nikonov et al.

Application No. 09/982,227

Filed: October 17, 2001

For: AN INTEGRATED OPTICAL CIRCUIT
HAVING AN INTEGRATED ARRAYED
WAVEGUIDE GRATING (AWG) AND
OPTICAL AMPLIFIER(S)

Examiner: Wong, Eric K.

Art Unit: 2874

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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TECHNOLOGY CENTER 2800

REQUEST FOR APPROVAL OF DRAWING CHANGE UNDER 37 C.F.R. § 1.121

Sir:

Applicants respectfully request approval of new drawing for Fig. 1 for the
above-identified application as enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: May 19, 2003

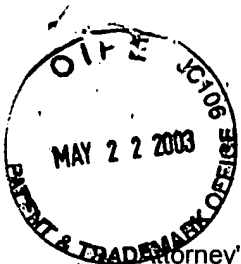
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May 19, 2003
(Date of Deposit)

Luci M. Arevalo
(Typed or printed name of person mailing correspondence)
Luci M. Arevalo
(Signature of person mailing correspondence)



2824

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Patent

In re the Application of: Dmitri E. Nikonov et al.

(inventor(s))

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(title)

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Alexandria, VA 22313-1450

SIR: Transmitted herewith is a Response Under 37 C.F.R. § 1.111 for the above application.

☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 30	Minus	** 30	0
Indep. Claims	* 7	Minus	*** 7	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0.00
X84	\$ 0.00
+280	\$
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Name of Person Mailing Correspondence

Luci M. Arevalo

Signature

05-19-03

Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.

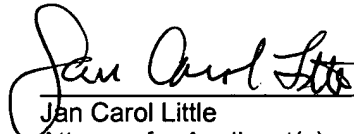
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No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: May 19, 2003



Jan Carol Little
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